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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No. 4-2903
055120-0002

In Re Application Of: **William B. Sweet et al.**

Serial No.
09/930,029

Filing Date
08/14/2001

Examiner
Unassigned

Group Art Unit
2131

Title:

Method and Apparatus for a Web-Based Application Service Model for Security Management

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Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

Technology Center 2100

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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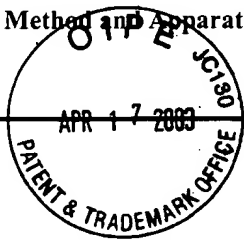
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(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Dated: April 10, 2003

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09/930,029

William B. Sweet et al.

08/14/2001

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SHEET 1 OF 1